



PTO/SB/21 (03-03)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/010,786	
	Filing Date	11/09/2001	
	First Named Inventor	Vlad J. Novotny	
	Art Unit	2874	
	Examiner Name	Valencia, Daniel E.	
Total Number of Pages in This Submission	3	Attorney Docket Number	13441-002001- AO-777

**ENCLOSURES (Check all that apply)**

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Arthur J. Behiel, Patent Attorney
Signature	
Date	May 01, 2003

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/010,786
Filing Date	11/09/2001
First Named Inventor	Vlad Novotny
Title	Micro-Electro-Mechanical Variable Optical Attenuator
Group Art Unit	2874
Examiner Name	Valencia, Daniel E.
Attorney Docket Number	13441-062001

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name RICHARD BARLES

Signature Richard Barles

Date APRIL 29, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Vlad Novotny, Yee-Chung Fu, and Zyo WangApplication No./Patent No.: 10/010,786 Filed/Issue Date: 11/9/2001Entitled: Micro-Electro-Mechanical Variable Optical Attenuator  
Active Optical Networks a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
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in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 12739, Frame 822, or for which a copy thereof is attached.

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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

APRIL 29, 2003  
Date

RICHARD BARLES

Typed or printed name

Richard Barles  
Signature

CONTROLLER

Title



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PTO/SB/82 (10-00)

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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	101010, 786
Filing Date	11/09/2001
First Named Inventor	Vlad Novotny
Group Art Unit	2874
Examiner Name	Valencia, Daniel E.
Attorney Docket Number	13441-002001

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name

RICHARD BARLES

Signature

Richard Barles

Date

APRIL 29, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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